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10/676,848	09/30/2003	Ayman Hammad	16222U-015600US	3759
66945 TOWNSEND AND TOWNSEND CREW LLP TWO EMBARCADERO CENTER, 8TH FLOOR			EXAMINER	
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SAN FRANCI	ANCISCO, CA 94111		ART UNIT	PAPER NUMBER
			3688	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No. Applicant(s) 10/676.848 HAMMAD ET AL. Office Action Summary Examiner Art Unit DANIEL LASTRA 3688 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 17 February 2009. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1.3-12 and 14-75 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) 1,3-12 and 14-75 is/are rejected. 7) Claim(s) _____ is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.

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DETAILED ACTION

 Claims 1, 3-12 and 14-75 have been examined. Application 10/676,848
 (METHOD AND SYSTEM FOR MANAGING CONCURRENT SKU-BASED REWARDS PROGRAM) has a filing date 09/30/2003.

Response to Amendment

 In response to Non Final Rejection filed 11/14/2008, the Applicant filed an Amendment on 02/17/2009, which amended claims 1, 4, 7, 9-12, 14-19, 21, 30, 37, 42, 48, 58-60, cancel claims 2, 13 and added new claims 63-75.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1, 3-12, 14-20, 52-54, 60-64, 42-47, 58 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. With respect to claims 1, 3-12, 14-20, 52-54, 60-64 based on Supreme Court precedent, a method/process claim must (1) be tied to another statutory class of invention (such as a particular apparatus) (see at least Diamond v. Diehr, 450 U.S. 175, 184 (1981); Parker v. Flook, 437 U.S. 584, 588 n.9 (1978); Gottschalk v. Benson, 409 U.S. 63, 70 (1972); Cochrane v. Deener, 94 U.S. 780, 787-88 (1876)) or (2) transform underlying subject matter (such as an article or materials) to a different state or thing (see at least

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Gottschalk v. Benson, 409 U.S. 63, 71 (1972)). A method/process claim that fails to meet one of the above requirements is not in compliance with the statutory requirements of 35 U.S.C. 101 for patent eligible subject matter. Here the claims fail to meet the above requirements because the steps are neither tied to another statutory class of invention (such as a particular apparatus). With respect to claims 42-47, 58 are defined as system claims however, said claims are claiming functional descriptive material (i.e. software) as "incentive selector and applier" are defined as software because Applicant's specification mentions in page 19 that the present invention may be implemented only in hardware, or only in software or using combination thereof.

Claim Rejections - 35 USC § 112

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 42-47, 58 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Said claims are indefinite because they are not system claims...

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the

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applicant for patent or (2) a patent granted on an application for patent by another filled in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1, 3-12 and 14-69 are rejected under 35 U.S.C. 102(e) as being anticipated by lannacci (US 2002/0062249).

Claim 1, lannacci teaches:

A method for determining one or more incentives to apply to a transaction, the method comprising:

determining a plurality of incentives that are eligible for application to the transaction using a distribution channel, the eligibility of each of the plurality of incentives is based on at least one product in the transaction, wherein the at least one product is associated with a product identifier that is used to determine the plurality of incentives, wherein at least two of the plurality of incentives are based on the same product in the transaction (see paragraph 238);

determining one or more incentives from the plurality of incentives to apply to the transaction using the distribution channel (see paragraph 133); and applying the determined one or more incentives to the transaction using the distribution channel (See paragraph 133).

Claim 3, lannacci teaches:

communicating with a portable device to determine portable device information (see paragraph 178):

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wherein determining the plurality of incentives comprises using the portable device information to determine the plurality of incentives eligible for application to the transaction (see paragraph 178).

Claim 4, Iannacci teaches:

wherein determining the one or more incentives using a distribution channel comprises using the determined portable device information to determine the one or more incentives (see paragraph 178).

Claim 5, lannacci teaches:

wherein using the determined portable device information comprises comparing the portable device information with information associated with the plurality of incentives to determine the plurality of incentives (see paragraph 164).

Claim 6, <u>lannacci</u> teaches:

updating the portable device information in the portable device to indicate that the determined one or more incentives have been applied to the transaction (see paragraph 179).

Claim 7, lannacci teaches:

wherein determining the one or more incentives using the distribution channel comprises determining information for applying the determined one or more incentives (see paragraph 311-328); and

using the information to determine how the determined one or more incentives are to be applied to the transaction (see paragraph 311-328).

Claim 8, <u>lannacci</u> teaches:

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wherein the information comprises information indicating if an incentive is combinable with another incentive (see paragraph 277-282).

Claim 9, lannacci teaches:

wherein determining the one or more incentives using the distribution channel comprises comparing the information for applying the determined one or more incentives with transaction details for the transaction to determine the one or more incentives (see paragraph 312-328).

Claim 10, lannacci teaches:

calculating a total value for the transaction, wherein a collective value of the one or more incentives when applied is equal to or less than the total value (see paragraph 318-328).

Claim 11, lannacci teaches:

wherein applying the determined one or more incentives using the distribution channel comprises calculating a new total value based on the total value for the transaction less the value of the one or more incentives (see paragraph 318-328).

Claim 12, <u>lannacci</u> teaches:

A method for managing incentives in connection with a loyalty transaction, the method comprising:

determining a plurality of incentives that are eligible for the loyalty transaction using a distribution channel, the eligibility of each of the plurality of incentives is determined based on at least one product in the transaction, wherein the at least one product is associated with a product identifier that is used to determine the plurality of

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incentives, wherein at least two of the plurality of incentives are based on the same product in the transaction (see paragraph 238);

calculating an incentive value for each of the plurality of incentives using the distribution channel (see paragraph 133);

determining one or more incentives from the plurality of incentives to apply to the loyalty transaction based on the calculated incentive values for each of the plurality of incentives using the distribution channel (see paragraph 133); and applying the determined one or more incentives to the loyalty transaction using the distribution channel (see paragraph 150).

Claim 14, lannacci teaches:

wherein determining one or more incentives using the distribution channel comprises:

determining a highest incentive value from the calculated incentive values (see paragraph 311-328);

and

wherein applying the determined one or more incentives comprises applying an incentive with the highest incentive value (see paragraph 311-328).

Claim 15, <u>lannacci</u> teaches:

determining if the incentive with the highest incentive value allows the incentive to be combined with other incentives using the distribution channel (see paragraph 277); and

if the incentive does allow for combining, determining another incentive to apply to the loyalty transaction in addition to the incentive with the highest value using the distribution channel (see paragraph 277).

Claim 16. lannacci teaches:

calculating a total value for the loyalty transaction using the distribution channel, wherein a collective value of the one or more incentives is equal to or less than the calculated total value (see paragraph 311-328).

Claim 17, lannacci teaches:

wherein applying the determined one or more incentives using the distribution channel comprising calculating a new total value from the total value based on the determined one or more incentives (see paragraph 311-328).

Claim 18, lannacci teaches:

outputting the new total value using the distribution channel (see paragraph 311-328).

Claim 19, lannacci teaches:

communicating with a portable device to determine portable device information:

wherein determining the plurality of incentives also comprises using the portable device information to determine the plurality of incentives that are eligible for the loyalty transaction (see paragraph 178).

Claim 20, lannacci teaches:

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updating portable device information in the portable device to indicate that the one or more incentives have been applied to the loyalty transaction (see paragraph 178).

Claim 21, lannacci teaches:

A method for determining one or more incentives to apply to a loyalty transaction, the method comprising:

communicating with a portable device to conduct the loyalty transaction, the loyalty transaction involving at least one product having a product identifier (see paragraph 178);

determining portable device information for the portable device (see paragraph 178);

determining a plurality of incentives that are eligible for the loyalty transaction, the eligible incentives determined based on the product identifier and the portable device information wherein at least two of the plurality of incentives are based on the same product (see paragraph 328);

determining one or more incentives from the plurality of incentives to apply to the loyalty transaction (see paragraph 328); and

applying the determined one or more incentives to the loyalty transaction (see paragraph 328).

Claim 22, Jannacci teaches:

wherein determining the one or more incentives comprises determining information for applying the one or more incentives (see paragraph 311-328); and

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using the information for applying to determine how the one or more incentives are to be applied to the loyalty transaction (see paragraph 311-328).

Claim 23, lannacci teaches:

wherein the information for applying comprises information indicating if an incentive is combinable with another incentive (see paragraph 277-282).

Claim 24, lannacci teaches:

wherein the information for applying comprises information indicating that an incentive having a nearest expiration date is to be applied (see paragraph 240).

Claim 25 and 36, lannacci teaches:

wherein the information for applying comprises information indicating that a user preference is to be used to determine how to apply the one or more incentives (see paragraph 311-328).

Claim 26, Iannacci teaches:

wherein determining the one or more incentives comprises comparing the information for applying with transaction details for the loyalty transaction to determine the one or more incentives (see paragraph 311-328).

Claim 27, <u>lannacci</u> teaches:

calculating a total value for the loyalty transaction, wherein a collective value of the one or more incentives when applied is equal to or less than the total value (see paragraphs 311-328).

Claim 28, lannacci teaches:

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wherein applying the determined one or more incentives comprises calculating a new total value for the loyalty transaction based on the one or more incentives (see paragraphs 311-328).

Claim 29, lannacci teaches:

updating information in the portable device to indicate that the one or more incentives have been applied to the transaction (see paragraph 178).

Claim 30, <u>lannacci</u> teaches:

A method for managing a plurality of incentives, the method comprising: determining at a host information for the plurality of incentives, the information for each incentive including a product identifier, wherein the product identifier is used to determine when an incentive in the plurality of incentives is applicable in a loyalty transaction (see paragraph 216);

determining at a host one or more rules for applying incentives if two or more incentives in the plurality of incentives are applicable for the loyalty transaction (see paragraph 311-328) wherein the two or more incentives in the plurality of incentives are applicable based on the same product identifier in the loyalty transaction; and

sending the information and the one or more rules to one or more distribution channels, wherein the information including the product identifier is usable to determine two or more eligible incentives from the plurality of incentives for the loyalty transaction (see paragraph 240)

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and the one or more rules are usable to determine one or more incentives from the two or more eligible incentives that are applicable for the loyalty transaction (see paragraph 240).

Claim 31, lannacci teaches:

wherein the one or more rules comprise values that are compared to at least one of portable device information and information from the loyalty transaction to determine the one or more incentives that are applicable (see paragraph 311-328).

Claim 32, lannacci teaches:

wherein the one or more rules include a rule specifying if an incentive may be combined with other incentives in the two or more eligible incentives (see paragraph 311-328).

Claim 33, <u>lannacci</u> teaches:

wherein the one or more rules include a rule specifying if one incentive should override another incentive in the two or more eligible incentives (see paragraph 311-328).

Claim 34, <u>lannacci</u> teaches:

wherein the one or more rules include a rule specifying that a highest value incentive in the two or more eligible incentives should be applied (see paragraph 311-328).

Claim 35, Jannacci teaches:

wherein the one or more rules include a rule specifying which incentive in the two or more eligible incentives to apply based on a product identifier (see paragraph 240).

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Claim 37, lannacci teaches:

A method for determining one or more incentives to apply to a loyalty transaction, the method comprising:

using a portable device in a loyalty transaction at a distribution channel for at least one product associated with a product identifier (see paragraph 178);

determining loyalty program information for a plurality of loyalty programs using the distribution channel (see paragraph 311-328);

determining portable device information for the portable device from the portable device (see paragraph 178);

determining transaction details for the loyalty transaction using the distribution channel (see paragraph 311-328);

determining a plurality of incentives that are eligible for application to the transaction using the distribution channel, the eligibility of each of the plurality of incentives is based on at least one product identifier in the transaction, wherein at least two of the plurality of incentives are based on the same product identifier in the transaction;

determining one or more incentives from a plurality of incentives to apply to the loyalty transaction based on at least one of the loyalty program information, portable device information, product identifier, and transaction details using the distribution channel (see paragraph 311-328); and

applying the determined one or more incentives to the loyalty transaction using the distribution channel (see paragraph 311-328).

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Claim 38, <u>lannacci</u> teaches:

wherein the loyalty program information comprises parameters that specify rules

useable in determining the one or more incentives (see paragraph 311-328).

Claim 39, <u>lannacci</u> teaches:

wherein the rules comprise at least one of a rule based on a monetary value, a rule based on the product identifier and a user selection, a rule based on an expiration date, and a rule based on if an incentive in the plurality of incentives is combinable (see

paragraph 240).

paragraph 311-328).

Claim 40, lannacci teaches:

wherein the portable device information comprises information specific to the portable device, wherein determining the one or more incentives comprises using the information specific to the portable device to determine the one or more incentives (see

Claim 41, <u>lannacci</u> teaches:

wherein the transaction details comprise information specific to the loyalty transaction, wherein determining the one or more incentives comprises using the information specific to the loyalty transaction to determine the one or more incentives (see paragraph 311-328).

Claim 42, <u>lannacci</u> teaches:

A device for determining one or more incentives to apply to a loyalty transaction, the device comprising:

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a communicator configured to receive a request to process a loyalty transaction involving at least one product associated with a product identifier (see paragraph 311-328);

a program determiner configured to determine a plurality of incentives that are eligible for application to the loyalty transaction, the eligibility of each of the plurality of incentives is based at least one product identifier in the loyalty transaction, wherein at least two of the plurality of incentives are based on the same product identifier in the loyalty transaction (see paragraph 311-328);

an incentive selector configured to select one or more incentives from the plurality of incentives to apply to the loyalty transaction (see paragraph 311-328); and

an incentive applier configured to apply the determined one or more incentives to the loyalty transaction (see paragraph 311-328).

Claim 43, lannacci teaches:

wherein the communicator is configured to communicate with a portable device to determine portable device information, the portable device information useable to determine the plurality of incentives or the selected one or more incentives (see paragraph 311-328).

Claim 44, lannacci teaches:

wherein the communicator is configured to determine transaction details, the transaction details useable to determine the plurality of incentives or the selected one or more incentives (see paragraph 311-328).

Claim 45. lannacci teaches:

an output configured to output a new total for the loyalty transaction based on the applied one or more incentives (see paragraph 311-328).

Claim 46, lannacci teaches:

a database configured to store information for the plurality of loyalty programs, the information useable to determine the plurality of incentives or the selected one or more incentives (see paragraph 311-328).

Claim 47, lannacci teaches:

wherein the communicator is configured to cause update information for the lovalty transaction to be written to a portable device (see paragraph 311-328).

Claim 48, lannacci teaches:

A system for determining one or more incentives to apply to a loyalty transaction, the system comprising:

one or more portable devices, each portable device including portable device information (see paragraph 178); and

one or more distribution channels, at least one distribution channel comprising:

a communicator configured to communicate with a portable device in the one or more portable devices for a loyalty transaction involving at least one product associated with a product identifier and to determine portable device information associated with the portable device (see paragraph 178);

an incentive selector configured to determine one or more incentives from a plurality of incentives associated with the at least one product to apply to the

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loyalty transaction, the one or more incentives determined based on the at least one product and the portable device information wherein at least two of the plurality of incentives are based on the same product identifier in the loyalty transaction (see paragraph 311-328); and

an incentive applier configured to apply the determined one or more incentives to the loyalty transaction (see paragraph 311-328).

Claim 49, lannacci teaches:

wherein the at least one distribution channel further comprises a program determiner configured to determine the plurality of incentives associated with the at least one product (see paragraph 311-328).

Claim 50, lannacci teaches:

a host configured to download information relating to the plurality of incentives to at least one distribution channel, wherein the information is useable to determine the one or more incentives (see paragraph 311-328).

Claim 51, lannacci teaches:

wherein the information relating to the plurality of incentives comprises at least one of a rule based on a monetary value, a ruled based on the at least one product and a user selection, a rule based on an expiration date, and a rule based on if an incentive in the plurality of incentives is combinable (see paragraph 240).

Claim 52, lannacci teaches:

wherein the determined one or more incentives have a highest redemption value (see paragraphs 311-328).

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Claim 53, lannacci teaches:

wherein the at least one product is associated with a sku number that is used to determine the plurality of incentives eligible for application to the transaction (see paragraph 216).

Claim 54, lannacci teaches:

wherein the at least one product is associated with a sku number that is used to determine the plurality of incentives eligible for application to the transaction (see paragraph 216).

Claim 55, lannacci teaches:

wherein the product identifier is a sku number (see paragraph 216).

Claim 56, lannacci teaches:

wherein the product identifier is a sku number (see paragraph 216).

Claim 57, lannacci teaches:

wherein the product identifier is a sku number (see paragraph 216).

Claim 58, lannacci teaches:

wherein the at least one product is associated with a sku number that is used to determine the plurality of incentives eligible for application to the transaction (see paragraph 216).

Claim 59, <u>lannacci</u> teaches:

wherein the at least one product is associated with a sku number that is used to determine the plurality of incentives eligible for application to the transaction (see paragraph 216).

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Claim 60, <u>lannacci</u> teaches:

wherein determining using the distribution channel one or more incentives from the plurality of incentives to apply to the transaction comprises: determining selection information; and determining the one or more incentives using the selection information (see paragraphs 311-328).

Claim 61, lannacci teaches:

wherein the selection information comprises monetary values (see paragraphs 311-328).

Claim 62, Iannacci teaches:

wherein the selection information comprises expiration dates (see paragraph 240).

Claims 63-69, lannacci teaches:

wherein the at least two of the plurality of incentives based on the same product identifier in the transaction are offered by at least two different sponsors, wherein each sponsor is associated with a different loyalty program (see paragraph 238 "visa, circuit city, sprint are giving rewards for a 36" Sony TV").

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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Claims 70-75 are rejected under 35 U.S.C. 103(a) as being unpatentable over lannacci (US 2002/0062249) in view of Costello (WO 2005/022342).

Claims 70-75, lannacci does not teach:

wherein the distribution channel operates in an offline manner while determining the plurality of incentives, determining the one or more incentives, and applying the determined one or more incentives to the transaction. However, <u>Costello</u> teaches that it is old and well known in the promotion art to determine the reward status of a transaction in a offline manner using a portable device (see paragraph 24). Therefore, it would have been obvious to a person of ordinary skill in the art at the time the application was made, to know that <u>lannacci</u> would determine reward status of portable device in an offline manner, as <u>Costello</u> teaches that it is old and well known to do so.

Response to Arguments

7. Applicant's arguments filed 02/17/2009 have been fully considered but they are not persuasive. The Applicant argues that the prior art does not teach that "one or more incentives are selected from a plurality of incentives wherein at least two of the plurality of incentives are based on the same product in the transaction" or "combining product based incentives". The Examiner answers that Lannacci teaches in paragraph 328 that one or more incentives are selected from a plurality of incentives (cash rebates, long distance minutes, airline miles) wherein at least two of the plurality of incentives are based on the same product in the transaction, where said incentives are based upon a 36" Sony television and where said plurality of incentives are from a plurality of different

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sponsors such as Sprint, Circuit City, MasterCard. Therefore, contrary to Applicant's argument, lannacci teaches Applicant's claimed invention.

Conclusion

 THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DANIEL LASTRA whose telephone number is 571-272-6720 and fax 571-273-6720. The examiner can normally be reached on 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James W. Myhre can be reached on (571)272-6722. The official Fax number is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

/DANIEL LASTRA/ Examiner, Art Unit 3688 May 12, 2009